



**Introduction:**

The Cayman Islands Stock Exchange ("CSX") is the sole issuer for International Securities Identification Numbers (ISIN) and CUSIP identifiers (CUSIP) for the Cayman Islands and the British Virgin Islands.

We have over 20 years' experience in issuing accurate, timely and reliable ISIN codes to the market. Our aim is to uphold "the use of standard identifiers to make the financial world a more efficient, safer and more stable environment for investors and the financial institutions that serve them."

**Disclaimer:**

CSX shall have no liability in connection with a determination that a security is or is not eligible for an ISIN. The allocation of an ISIN to a particular security is not intended to be and should not be construed as an endorsement of such security, or an opinion as to the legal validity of such security.

CSX does not guarantee the accuracy, adequateness or completeness of any data associated with the ISIN and shall not be liable or responsible for any errors or omissions or for the results obtained from the use of any ISIN. By submitting a request for an ISIN, the applicant warrants that it has the legal authority to request an identifier on behalf of the issuer of the security that is the subject of the ISIN and it warrants that all information supplied shall be true and correct in all material respects.

**Updates and changes to allocated ISINs- FAQs:**

- **What is the process in the event of a change of name of the Issuer?**

Currently, no new ISINs are required due to a change of name. We are now able to amend the existing ISINs to reflect the new name. Kindly note that as of 01 October 2022, there is a charge of US\$200 for name change requests. This fee will cover the name amendment on all existing ISIN codes under the entity.

In order to amend the name on our system, we will require the following documentation:

- Change of Name Certificate
- Signed Board/Director's Resolutions stating the old name, new name and effective date of change
- Billing address to be included on invoice

An invoice will be issued upon receipt of the relevant documents and information. The amended ISIN code(s) will be forwarded within 24 hours of receipt of payment.

- **What is the process to change the description of an allocated CUSIP/ISIN?**

If the issue description changes, for example Class C shares are changed to Class X shares, please forward a signed Director's Resolution setting out the change and its effective date at which time we will update our records accordingly and forward a confirmation reflecting the changes. There is no fee to amend the description of an ISIN.

- **Does an ISIN/CUSIP expire?**

Allocated ISINs/CUSIPs do not expire in any case.



- **What is the process of registering the ISIN on Bloomberg?**

Log on to <https://www.openfigi.com/> for assistance with registering your ISIN with Bloomberg as we do not register the ISINs on any online database.

- **Is there a fee for canceling an ISIN?**

The cancellation fee is USD\$100 per ISIN if cancelled within 30 days of the ISIN's issuance. Once an ISIN has been withdrawn, you will not be able to reactivate it for future use. Please note there is no cancellation fee in the event of a liquidation or full redemption of shares.

- **Are there any recurring fees associated with an ISIN?**

The Cayman Islands Stock Exchange will not charge customers any recurring fees of any nature for an ISIN created for either a Cayman Islands or British Virgin Islands issuer. Recurring fees include the following; monthly/quarterly/bi-annual/annual fees, maintenance fees or service fees.

- **Where can I find a record of the ISIN online?**

ISINs created by the Cayman Islands Stock Exchange can be found using the free ISIN look-up service, available to the public, stated below. This site is officially regulated and maintained by CUSIP Global Services.

<https://www.annaservice.com/isinlookup/login>

Should you have any queries, including updates or changes to ISINs issued by the Cayman Islands Stock Exchange (Prefix: KYG or VGG) please email CUSIP Administrator [CUSIPmail@csx.ky](mailto:CUSIPmail@csx.ky) , CC Sandy McFarlane [sm@csx.ky](mailto:sm@csx.ky)

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